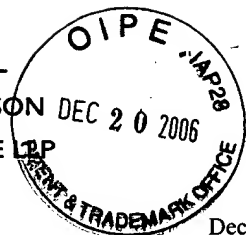




CAMPBELL  
STEPHENSON  
ASCOLESE LLP



December 13, 2006

TFW

4807 Spicewood Springs Road  
Building 4, Suite 201  
Austin, Texas 78759  
T: 512-439-5080  
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3623

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventors: Balazs Kralik; Michael Goldbach; Paul Dagum  
Assignee: Rapt, Inc.  
Title: METHOD AND APPARATUS FOR ESTIMATION OF COMPONENT  
GATING AND SHORTAGE RISK IN MANUFACTURING OPERATIONS  
Application No.: 09/942,249  
Filing Date: August 29, 2001  
Group Art Unit: 3623  
Confirmation No.: 6169  
Examiner: Johnna Ronee Loftis  
Attorney Docket No.: RAP0008US

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard
- (2) Transmittal Letter (1 page, in duplicate)
- (3) Response To Notice of Non-Compliant Amendment (3 pages)
- (4) Copy of Transmittal as filed with Response to Non-Final Office Action on August 15, 2006 (1 page)
- (5) Copy of Returned Postcard for Response to Non-Final Office Action filed August 15, 2006 (1 page)
- (6) Copy of Substitute Specification (*with markings*) filed with Response to Non-Final Office Action on August 15, 2006 (51 pages)
- (7) Clean copy of Substitute Specification (*without markings*) filed with Response to Non-Final Office Action on August 15, 2006 (21 pages)

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

**CLAIMS AS AMENDED**

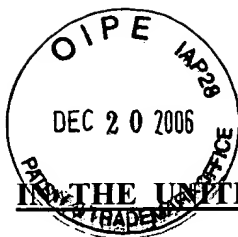
	Claims Remaining <u>After Amendment</u>		Highest No. Previously <u>Paid For</u>		Present <u>Extra</u>		<u>Rate</u>		Additional <u>Fee</u>
Total Claims	20	Minus	20	=	0	x	\$50.00	\$	0.00
Independent Claims	2	Minus	3	=	0	x	\$200.00	\$	0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application							\$	
<input type="checkbox"/>	Fee for Request for Extension of Time - _____ month(s)							\$	0.00
<b><u>Total additional fee for this Amendment:</u></b>								\$	<u>0.00</u>
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.								
<input type="checkbox"/>	Please charge our Deposit Account No. 502306 in the amount of							\$	<u>0.00</u>
<input checked="" type="checkbox"/>	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502306.								
<b>Total:</b>								\$	0.00

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail in an envelope addressed  
to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450,  
Alexandria, Virginia, 22313-1450, on December 13, 2006.

Cyrus F. Bharucha 2006 Dec 13  
Attorney for Applicant Date of Signature

Respectfully submitted,

Cyrus F. Bharucha  
Cyrus F. Bharucha  
Attorney for Applicant  
Reg. No. 42,324



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Balazs Kralik; Michael Goldbach; Paul Dagum  
Assignee: Rapt, Inc.  
Title: METHOD AND APPARATUS FOR ESTIMATION OF COMPONENT  
GATING AND SHORTAGE RISK IN MANUFACTURING OPERATIONS  
Appl. No.: 09/942,249 Filed: August 29, 2001  
Examiner: Johnna Ronee Loftis Group Art Unit: 3623  
Docket No.: RAP0008US Confirmation No. 6169

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Austin, Texas  
December 13, 2006

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**RESPONSE TO NOTICE OF  
NON-COMPLIANT AMENDMENT**

Dear Sir:

This paper is timely submitted in response to the Notice of Non-Compliant Amendment dated November 13, 2006, having a one-month period for reply that expires on December 13, 2006. Applicant respectfully requests that the accompanying resubmission of the Substitute Specification be entered, and respectfully requests further examination and reconsideration in view of the accompanying remarks.

A duplicate copy of the **Substitute Specification** (including clean and marked-up versions) is included herewith.

**Remarks** begin on **page 2** of this paper.